



Practitioner's Docket No. ARC 2914R1

Corres. and Mail
BOX AF

AF \$11615
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Berry, Stephen A.; Ferreira, Pamela J.; Dehnad, Houdin; and Muchnik, Anna

Application No.: 09/497,422

Group No.: 1615

Filed: 02/03/2000

Examiner: B. Fubara

For: Stable Non-Aqueous Single Phase Viscous Vehicles and Formulations Utilizing Such Vehicles

**RESPONSE UNDER
37 C.F.R. section 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP**

RECEIVED
APR 06 2001
TECH CENTER 1600/2900

Box AF
Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT OR RESPONSE AFTER FINAL REJECTION-FIRST PAGE

CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Address"
Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 3/28/2001

Katrina M. Ghafghaichi
Signature

Katrina M. Ghafghaichi
(type or print name of person certifying)

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).
"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.



Practitioner's Docket No. ARC 2914R1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Berry, Stephen A.; Fereira, Pamela J.; Dehnad, Houdin; and Muchnik, Anna
Application No.: 09/497,422 Group No.: 1615
Filed: 02/03/2000 Examiner: B. Fubara
For: Stable Non-Aqueous Single Phase Viscous Vehicles and Formulations Utilizing Such Vehicles

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.
4. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 3/28/2001

Katrina M. Ghafghaichi
Signature

Katrina M. Ghafghaichi
(type or print name of person certifying)



	(Col.1) Claims Remaining After Amendment		(Col. 2) Highest No. Previously Paid For	(Col. 3) Present Extra	OTHER THAN A SMALL ENTITY Rate	Addit. Fee
Total	41	Minus	20	= 21	x \$18 =	\$378
Indep.	9	Minus	3	= 6	x \$80 =	\$480
First Presentation of Multiple Dependent Claim					+ \$270 =	\$270
Total Addit. Fee						<u>\$1,128</u>

- * If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
 - ** If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 1) is less than 20, enter "20".
 - *** If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 2) is less than 3, enter "3".
- The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

Total additional fee for claims required \$1,128.00

FEE PAYMENT

5. Charge Account No. 01-1173 the sum of \$1,128.00. A duplicate of this transmittal is attached.

FEE DEFICIENCY

6. If any additional extension and/or fee is required, charge Account No. 01-1173.
If any additional fee for claims is required, charge Account No. 01-1173.

Date: March 28, 2001

Pauline Ann Clarke

Pauline Ann Clarke
Registration No. 29,783
ALZA Corporation
1900 Charleston Road, P.O. Box 7210
M10-3
Mountain View, CA 94039-7210
United States of America
-(650)-564-5560



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/497,422	
	Filing Date	February 3, 2000	
	First Named Inventor	Stephen A. Berry	
	Group Art Unit	1615	
	Examiner Name	B. Fubara	
Total Number of Pages in This Submission		Attorney Docket Number	ARC 2914R1

TECH CENTER 1000/2500

APR 06 2001

RECEIVED

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Acknowledgement
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Clean Amended Claims
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Pauline Ann Clarke; ALZA Corporation
Signature	<i>Pauline Ann Clarke</i>
Date	March 28, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03/28/2001			
Typed or printed name	Katrina M. Ghafghaichi		
Signature	<i>Katrina M. Ghafghaichi</i>	Date	3/28/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.